

CP228: RESEARCH WORKSHOP IN METROPOLITAN REGIONAL PLANNING
REVISED SYLLABUS, 2/5/16

Evaluating HUD's Sustainable Communities Initiative-Regional Planning Grant Program

Funded by Congress in 2010 and 2011, HUD's Sustainable Communities Initiative Regional Planning Grant (SCI) program provided grants on a competitive basis for collaborative regional planning efforts supporting more sustainable development patterns. With \$165 million awarded to 74 grantees from across the country, the program marks the largest federal government investment ever in regional planning in the U.S. The purpose of the program was to "support metropolitan and multijurisdictional planning efforts that integrate housing, land use, economic and workforce development, transportation, and infrastructure investments" with the goal of making improvements in the areas of "(1) economic competitiveness and revitalization; (2) social equity, inclusion, and access to opportunity; (3) energy use and climate change; and (4) public health and environmental impact" (HUD NOFA, 2010).

The client for this studio will be the Office of Economic Resilience at the U.S. Department of Housing and Urban Development. For the evaluation, we are interested not only in the direct sustainability impacts of the SCI grants, but also how the program has changed local planning culture and impacted relationships between cities and their suburbs and among traditionally siloed agencies. Another area of interest is to better understand how grantees resolved conflicts and made tradeoffs between economic, environmental, and equity goals.

During the semester, we will conduct an overarching analysis of program participants, as well as three-four case studies. For the first half of the semester, we will together work on the evaluation of the San Francisco Bay Area's Regional Prosperity Plan, which was developed under this program. During the latter half, students will split into case study groups; cases are likely to include the Puget Sound region, another West Coast region, and an East Coast region. For most, if not all groups, we will be sponsoring travel to the region for fieldwork (via grant funding). Students who are not able to travel will continue with the San Francisco case. A separate group will work on the overarching program analysis.

Students will take on one of three roles in the workshop:

- Interviewer, conducting web research and interviews with case study stakeholders;
- Historian, analyzing case regional governance and prior regional planning efforts via archival research and interviews; or
- Analyst, conducting content and statistical analysis for the national pool of grantees and developing indicators for the cases, and/or conducting and analyzing a survey and social network analysis.

The class is intended for MCP students, but others may be admitted with permission of the instructor. There are no course prerequisites; however, students will benefit from prior exposure to primary and secondary data analysis techniques, as in CP 201.

Learning Objectives

In this class, students will:

- develop an understanding of the institutions and actors that have shaped regional planning and governance from the mid-20th century to the present;
- become fluent with concepts of social equity and justice and how they are incorporated into regional planning;
- master qualitative research methods and ethics, including how to conduct and analyze interviews;
- learn how to work in teams;
- practice presentation techniques.

Tasks

Task 1: Background research: The SCI program and the Bay Area Regional Prosperity Plan

(5% of final grade)

Deliverables: Reading responses

For this task, post your reaction (1-2 paragraphs) to the readings as follows:

Jan 25: Understanding the SCI program

Jan 27: Evaluating the Bay Area's RPP

Feb 1: History of regional planning

Feb 3: De-siloing and collective impact

Task 2: Research design.

(10% of final grade)

Deliverables: Draft scope of work due February 8

Complete CITI training (if doing interviews) by February 8

<http://cphs.berkeley.edu/student.html>

Draft interview and survey questions due February 17

Second half scope of work due March 16

Task 3. Preliminary findings (Presentation and report due March 16)

(30% of final grade)

Three groups:

Task 3a. Preliminary interview findings, Bay Area

Task 3b. Preliminary archival and historical research findings, Bay Area

Task 3c. Preliminary content analysis findings

Task 4. Final report (Presentation and report due week of May 9, TBD)
(55% of final grade)

Two groups:

Task 4a. Case study reports (San Francisco, Seattle, and one-two others)

Task 4b. Report on content and survey analysis findings

Class Schedule and Readings

All readings are available on the web or on the class bCourses website.

January 20 Introduction to the project and clients

January 25 Understanding the HUD-SCI program

Browse websites: Institute for Sustainable Communities

<http://betterplansbetterplaces.iscvt.org/> and HUD <https://www.huduser.gov/portal/sci-program-information.html>

Institute for Sustainable Communities. 2015. *Better Plans for Better Places*.

<http://betterplansbetterplaces.iscvt.org/wp-content/uploads/2015/06/BetterPlans4BetterPlaces.pdf>

HUD. Notice of Funding Availability. 2010 and 2011. <https://www.huduser.gov/portal/sci-program-information.html>

Summit Consulting. 2013. *SCI Progress Report*.

[https://www.huduser.gov/portal/sites/default/files/docs/2013-08-SCI-Progress-Report-\(Summit\).docx](https://www.huduser.gov/portal/sites/default/files/docs/2013-08-SCI-Progress-Report-(Summit).docx)

Chapple and Mattiuzzi. 2013. *Planting Seeds for a Sustainable Future*.

https://www.huduser.gov/portal/sites/default/files/pdf/2013-06_UCBerkeley_Chapple-and-Mattiuzzi_Planting-Seeds-for-a-Sust-Future.pdf

Optional:

Gough. 2015. *Reconciling Livability and Sustainability*.

https://www.huduser.gov/portal/sites/default/files/pdf/2015_VCU_Gough_Journal-of-Planning-Education-and-Research.pdf

January 27 Introduction to the Bay Area's Regional Prosperity Plan

Guest: Vikrant Sood, MTC

Browse website, Bay Area Prosperity Plan: <http://planbayarea.org/regional-initiatives/Bay-Area-Prosperity-Plan.html>

ICF Consulting. 2015. *Prosperity Plan Evaluation Report*.
http://planbayarea.org/pdf/Prosperity_Plan_Evaluation_Report.pdf

Frick, Chapple, Mattiuzzi and Zuk. 2015. Collaboration and Equity in Regional Sustainability Planning in California. *California Journal of Politics and Policy*.

February 1 History of regional planning

Organizing the class: Overview of interviews and content analysis

Teitz, Michael B. "Regional Development Planning." *Planning Ideas That Matter: Livability, Territoriality, Governance, and Reflective Practice* (2012): 127-152

Chapple, Karen. 2014. Chapter 2, "The landscape of regional sustainability planning, past and present." *Planning Sustainable Cities and Regions: Towards More Equitable Development*. Oxford: Routledge.

February 3 De-siloing and collective impact

Guest: Dwayne Marsh, HUD and Center for Social Inclusion

Read:

Marsh, Dwayne. 2014. Collective Impacts of SCI.
https://www.huduser.gov/portal/sites/default/files/pdf/2014-03_HUD_Marsh_SCI-Collective-Impact-in-Fed-Reserve.pdf

And at least one of the following:

Pendall et al. 2013. Can Federal Efforts Advance Federal and Local De-Siloing?
http://www.urban.org/research/publication/can-federal-efforts-advance-federal-and-local-de-siloing-full-report/view/full_report

Zdeb, Jessica. 2012. *Collaboration for Sustainability: Case Studies of Three 2010 Sustainable Communities Regional Planning Grant Winners*. DCRP Professional Report.

Wise, Ella. 2014. *Strategic Next Steps: Building off the HUD Sustainable Communities Regional Planning Grants*. DCRP Client Report.

February 8 Conducting interviews – mock interview with James Pappas, CHPC
(Preliminary scope of work due, CITI training complete)

Rubin, Herbert J. and Irene S. Rubin. 2005. Chapters 1, 5, 6, 8, 9. *Qualitative interviewing: The art of hearing data*. Thousand Oaks, CA: Sage.

February 10 Conducting interviews
(Presentation of preliminary scope of work)

February 15 No class

February 17 Designing survey questions
(Preliminary interview and survey questions due)

Dillman, Don. Jolene Smyth, and Leah Christian. 2009. Chapter 4, “The Basics of Crafting Good Questions.” Pp. 65-106 in *Internet, Mail, and Mixed-Mode Surveys: The Tailored Design Method*. New York: Wiley & Sons.

Dillman, Don. Jolene Smyth, and Leah Christian. 2009. Chapter 5, “Constructing Open- and Closed-Ended Questions.” Pp. 107-150 in *Internet, Mail, and Mixed-Mode Surveys: The Tailored Design Method*. New York: Wiley & Sons.

February 22 Finalizing the interview and survey protocols and/or Overview of content and discourse analysis using DeDoose

Hardy, Cynthia, Bill Harley, and Nelson Phillips. 2004. “Discourse Analysis and Content Analysis: Two Solitudes?” *Qualitative Methods: Newsletter of the American Political Science Association Organized Section on Qualitative Methods* 2(1):19–22.

Rein, Martin, and Schon. 1993. “Reframing Policy Discourse.” Pp. 145–66 in *The Argumentative turn in policy analysis and planning*, edited by Frank Fischer and John Forester. Durham, N.C: Duke University Press.

DeDoose User Guide. <http://www.dedoose.com/userguide/>

February 24 Group meetings

